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1-31-03

Serial No. 09/928159

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: BASTUE et al. Examiner: Unknown  
Serial No.: 09/928159 Group Art Unit: 2872  
Filed: August 10, 2001 Docket No.: 01485.0015-US-01  
Title: ALIGNMENT OF MULTI-CHANNEL DIFFRACTIVE WDM DEVICE

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on January 8, 2003.

Iain A. McIntyre  
Name

*Iain A. McIntyre*  
Signature

Information Disclosure Statement Under 37 C.F.R. §1.97(b)

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicant(s) respectfully submit(s) the items of information on the enclosed Form 1449 for the attention of the Examiner in the above-identified application.

This statement should be considered because it is filed before the mailing date of the first Office Action on the merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of each document or other information listed on the enclosed Form 1449 is enclosed in accordance with 37 C.F.R. §1.98(a)(2) and/or a copy of each document is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in a parent application in accordance with 37 C.F.R. §1.98(d).

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No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103. In addition, Applicant(s) do(es) not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended, and reserve the right to establish otherwise under 37 C.F.R. §1.131 or others.

Consideration of the items listed is respectfully requested. According to M.P.E.P. §609, Applicant(s) request(s) that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

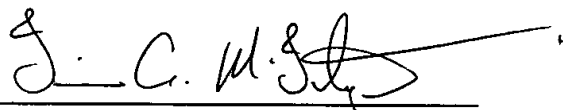
Respectfully submitted,

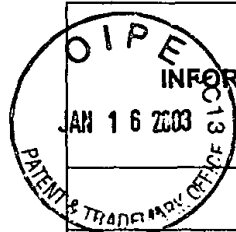
Altera Law Group, LLC



Date: January 8, 2003

By:

  
\_\_\_\_\_  
Iain A. McIntyre  
Reg. No. 40,337  
IAM/vlb

**INFORMATION DISCLOSURE STATEMENT**

PTO Form 1449

Docket Number  
01485.0015-US-01Serial Number  
09/928159Applicant(s)  
Jens BastueFiling Date  
8/10/2001Group Art Unit  
2872**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE (IF APPROPRIATE)
		6,137,933	10/24/00	Hunter et al.			

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
							YES	NO
		WO 01/42825 A1	06/14/01	WIPO				

**OTHER DOCUMENTS**

		International Search Report for PCT/EP02/08875

Examiner:

Date Considered: